



GRC Offering

GRC (Governance, Risk and Compliance) is a holistic approach to managing an organization's security strategy, compliance with regulatory standards, and risk management. It is an essential part of any organization's security posture and can help organizations to protect their assets, reduce risks and ensure compliance with regulatory standards, such as CMMC and ISO 27001.

The Cybersecurity Maturity Model Certification (CMMC) is a new requirement for all Department of Defense (DoD) contractors. It assesses and verifies a contractor's cybersecurity practices, ensuring that they meet the necessary standards to handle DoD information.

ISO 27001 is an international standard that establishes best practices for an information security management system (ISMS). It helps organizations to identify and manage the risks associated with their information assets and to protect them from unauthorized access, use, disclosure, disruption, modification, or destruction.

Our GRC consulting services include

- Planning and execution of GRC strategy that aligns with your business strategy
- Identification of risks and vulnerabilities in your organization
- Development of security protocols and procedures to mitigate identified risks
- Implementation of security measures and monitoring of security incidents
- Regular audits and assessments to ensure the continued effectiveness of security measures

Our GRC compliance services include:

- Assessing and monitoring compliance with regulatory programs and industry standards
- Developing and implementing compliance policies and procedures
- Conducting regular audits and assessments to ensure continued compliance
- Providing guidance and support on compliance-related issues

With our GRC services, organizations can benefit from



A comprehensive approach to security that addresses both physical and IT threats



Alignment of security measures with the organization's overall security strategy



Protection of assets, reduction of risks, and compliance with regulations and industry standards



Increased efficiency and cost savings through automation and streamlined processes



Real-time visibility into the organization's overall risk posture

Our team of experts has extensive experience working with a variety of industries on GRC and CISO engagements. Our GRC consulting and compliance services can help your organization achieve the best way forward to manage risks and conduct business securely.

- GRC Offering

CMMC and ISO Compliance for Legal firms

CMMC and ISO Compliance for construction firms
- CMMC and ISO Compliance for Financial

CMMC and ISO Compliance for AEC

CMMC and ISO Compliance for healthcare

Vault Security Solutions offerings for Legal Firms

Legal firms face a range of unique regulatory and compliance challenges, from managing client data to adhering to strict ethical and professional standards. As such, it's essential that legal firms have strong governance, risk management, and compliance (GRC) processes in place to ensure that they are operating in accordance with relevant laws and regulations.

At Vault Security Solutions, we understand the unique GRC needs of legal firms and have tailored our offerings to meet these requirements. Here's an overview of the GRC services we provide for the legal industry:

- Regulatory Compliance Assessments** We conduct comprehensive assessments to help legal firms understand their compliance obligations under relevant laws and regulations. Our assessments cover a range of areas, including data protection, financial crime prevention, and ethical and professional standards. We work with legal firms to identify areas of non-compliance and provide practical recommendations to improve their GRC posture.
- Policy and Procedure Development** We help legal firms to develop and implement policies and procedures that are tailored to their specific GRC needs. Our policies and procedures cover a range of areas, including data privacy, information security, financial crime prevention, and ethical and professional standards. We work closely with legal firms to ensure that their policies and procedures are practical, effective, and meet the requirements of relevant laws and regulations.
- Compliance Monitoring and Reporting** We provide ongoing compliance monitoring and reporting services to help legal firms stay on top of their GRC obligations. Our monitoring and reporting services cover a range of areas, including data protection, financial crime prevention, and ethical and professional standards. We provide regular reports to legal firms that highlight areas of non-compliance and provide recommendations to improve their GRC posture.
- Incident Response Planning** We help legal firms to develop and implement incident response plans to ensure that they are prepared to respond to security incidents and data breaches. Our incident response planning services cover a range of areas, including data protection, information security, and financial crime prevention. We work closely with legal firms to ensure that their incident response plans are practical, effective, and meet the requirements of relevant laws and regulations.
- Staff Training and Awareness** We provide training and awareness programs to help legal firms educate their staff on relevant GRC requirements. Our training programs cover a range of areas, including data privacy, information security, financial crime prevention, and ethical and professional standards. We work closely with legal firms to develop training programs that are tailored to their specific GRC needs and that meet the requirements of relevant laws and regulations.
- Audit Support** We provide support to legal firms during regulatory audits and inspections. Our audit support services include pre-audit preparation, on-site support during audits, and post-audit follow-up. We work closely with legal firms to ensure that they are well-prepared for audits and inspections and that they are able to demonstrate compliance with relevant laws and regulations.
- Technology Solutions** We provide a range of technology solutions to help legal firms improve their GRC posture. Our technology solutions cover a range of areas, including data protection, information security, financial crime prevention, and ethical and professional standards. We work closely with legal firms to identify their specific technology needs and to develop solutions that are tailored to their requirements.



Vault Security Solutions offerings for Construction Firm

As a construction firm, you are exposed to a variety of risks that can have significant financial and reputational impacts on your business. These risks can range from worker safety and project management issues to legal and regulatory compliance concerns. That's where Governance, Risk, and Compliance (GRC) comes in, providing a framework to manage these risks and ensure that your business operates ethically and in compliance with regulations. In this article, we will explore the GRC offerings that are specific to the construction industry.

► GRC Overview for Construction Firms

- Regulatory Compliance Management:** Regulatory compliance is an essential aspect of GRC in the construction industry. Construction firms must comply with a variety of regulations and standards that can vary by state or locality. A GRC solution for construction firms should provide an easy way to identify, track, and manage compliance with relevant regulations and standards. This can include Occupational Safety and Health Administration (OSHA) regulations, National Fire Protection Association (NFPA) codes, environmental regulations, and zoning codes. Compliance management can also help construction firms avoid fines, penalties, and legal liabilities by ensuring that they stay in compliance with applicable regulations.
- Risk Management:** The construction industry is exposed to a variety of risks, including accidents, material shortages, and project management issues. GRC solutions for construction firms should provide a systematic approach to identify and manage risks, including the use of risk assessments and mitigation plans. By managing risks, construction firms can avoid costly delays, accidents, and legal liabilities.
- Ethics and Compliance Training:** GRC solutions for construction firms should provide training resources to ensure that employees are aware of ethical and compliance issues. This training should cover topics such as conflicts of interest, bribery, and fraud. By providing this training, construction firms can establish a culture of ethics and compliance and reduce the risk of noncompliance.
- Quality Management:** Quality management is an essential aspect of GRC in the construction industry. A GRC solution for construction firms should provide a way to ensure that the construction project meets the required quality standards. This can include establishing quality control procedures, conducting inspections, and implementing corrective actions. Quality management can help construction firms avoid costly rework and ensure that projects are delivered on time and within budget.
- Incident Management:** Incident management is an essential aspect of GRC in the construction industry. A GRC solution for construction firms should provide a way to manage incidents, including accidents, safety violations, and security breaches. Incident management should include procedures for reporting incidents, investigating incidents, and implementing corrective actions. By managing incidents, construction firms can minimize the impact of incidents on the project and reduce legal liabilities.
- Document Management:** Construction firms generate a large number of documents, including project plans, contracts, and invoices. A GRC solution for construction firms should provide a way to manage these documents, including document version control, document access control, and document retention policies. Document management can help construction firms ensure that documents are properly stored, managed, and disposed of, reducing the risk of legal liabilities.
- Contract Management:** Construction firms enter into many contracts with vendors, subcontractors, and customers. A GRC solution for construction firms should provide a way to manage contracts, including contract drafting, contract review, and contract management. Contract management can help construction

Vault Security Solutions offerings for Health Care

In the healthcare industry, governance, risk management, and compliance (GRC) strategies and solutions are crucial for ensuring patient safety and regulatory compliance. Healthcare organizations must comply with numerous regulations such as HIPAA, HITECH, and GDPR, among others, while also addressing risks associated with patient care, information security, financial risks, and operational risks.

As a GRC services company, we offer a range of solutions that are specifically designed to meet the unique needs of the healthcare industry. Our solutions help healthcare organizations achieve regulatory compliance, manage risks, and protect patient confidentiality. Here are some of our GRC offerings specific to the healthcare industry:

- Regulatory compliance:** Compliance with regulations is a top priority for healthcare organizations. Failure to comply with regulations can result in severe consequences such as fines, penalties, and loss of reputation. Our GRC solutions provide healthcare organizations with tools and methodologies for ensuring compliance with regulatory requirements. We offer compliance assessments, policy templates, and automated compliance checklists to ensure that your organization is staying up to date with changing regulations.
- Data security:** The healthcare industry handles sensitive patient information, making it a prime target for cyberattacks. Our GRC solutions provide comprehensive data security strategies to safeguard your organization's data. We offer vulnerability assessments, penetration testing, and security audits to identify potential vulnerabilities and provide recommendations for remediation. We also provide training and awareness programs for employees to prevent data breaches.
- Risk management:** The healthcare industry is inherently risky due to the nature of the services provided. Our GRC solutions provide comprehensive risk management strategies that identify, assess, and mitigate risks associated with patient care, information security, financial risks, and operational risks. We use risk assessment tools and methodologies to identify potential risks and provide recommendations for mitigation.
- Privacy concerns:** Patient privacy is a top priority in the healthcare industry, and organizations must take all necessary measures to protect patient confidentiality. Our GRC solutions provide privacy impact assessments, privacy policies, and data handling procedures to ensure that your organization is complying with privacy regulations. We also provide training and awareness programs for employees to promote a culture of privacy and compliance.
- Vendor management:** Healthcare organizations often work with vendors and third-party service providers, which can introduce additional risks. Our GRC solutions provide vendor risk management strategies to ensure that vendors and service providers are complying with regulatory requirements and adequately protecting patient data. We provide vendor assessments, contract reviews, and ongoing monitoring to ensure that vendors meet your organization's standards.
- Incident management:** In the healthcare industry, incidents such as data breaches, medical errors, and other adverse events can have severe consequences. Our GRC solutions provide incident management strategies that help healthcare organizations manage incidents effectively, minimize the impact on patients and staff, and prevent similar incidents from occurring in the future. We offer incident response planning, incident investigation, and root cause analysis services to help healthcare organizations respond to incidents promptly and effectively. At our GRC services company, we understand the unique challenges faced by healthcare organizations, and we provide tailored solutions to address these challenges. Our GRC offerings provide comprehensive solutions that ensure regulatory compliance, data security, risk management, privacy concerns, vendor management, and incident management. By partnering with us, healthcare organizations can focus on their core business of providing quality patient care, while we handle the GRC challenges. Contact us today to learn more about our GRC solutions for the healthcare industry.





Vault Security Solutions offerings for Financial Firm

GRC Offerings Specific to the Financial Firm Industry

As a financial firm, you operate in a highly regulated and complex environment that requires you to adhere to various regulations and standards. Compliance is critical to maintain the trust of your clients, protect their sensitive information, and avoid costly penalties and reputational damage. However, achieving compliance can be a daunting task, especially when you're dealing with multiple regulations that are constantly evolving.

That's where Governance, Risk, and Compliance (GRC) solutions come in. GRC solutions help financial firms to manage their compliance obligations, identify and mitigate risks, and improve their overall governance processes. Here are some GRC offerings specific to the financial firm industry that can help you achieve compliance and manage risks more effectively:

Regulatory compliance management: Financial firms are subject to a wide range of regulations, including the Sarbanes-Oxley Act (SOX), the Gramm-Leach-Bliley Act (GLBA), the Payment Card Industry Data Security Standard (PCI DSS), and the Dodd-Frank Wall Street Reform and Consumer Protection Act. Each of these regulations has specific requirements that financial firms must meet to remain compliant. GRC solutions can help you track and manage your compliance obligations, automate compliance assessments and reporting, and ensure that you stay up-to-date with the latest regulatory changes.

Risk management: Financial firms face a variety of risks, including operational risks, market risks, credit risks, and cyber risks. GRC solutions can help you identify, assess, and manage these risks more effectively by providing risk assessments, risk registers, and risk mitigation plans. By proactively managing risks, you can reduce the likelihood of incidents that can cause financial loss or reputational damage.

Information security management: Financial firms deal with a vast amount of sensitive information, including personal data, financial data, and confidential business information. Ensuring the security of this information is critical to maintain the trust of your clients and protect your firm from cyber-attacks and data breaches. GRC solutions can help you manage your information security by providing policies and procedures, access controls, and vulnerability assessments. By implementing robust information security controls, you can reduce the risk of data breaches and demonstrate compliance with regulations such as PCI DSS and GLBA.

Third-party risk management: Financial firms often rely on third-party vendors to provide various services, such as payment processing, cloud storage, and IT support. However, these vendors can pose a significant risk to your firm if they experience a security incident or fail to meet their contractual obligations. GRC solutions can help you manage your third-party risks by providing vendor risk assessments, due diligence, and contract management. By vetting your vendors and monitoring their performance, you can reduce the risk of third-party incidents that can cause reputational damage or financial loss.

Incident management: Despite your best efforts to manage risks and maintain compliance, incidents can still occur. When an incident happens, it's critical to respond quickly and effectively to minimize the impact on your business and clients. GRC solutions can help you manage incidents by providing incident response plans, breach notification procedures, and crisis management tools. By having a well-defined incident management process in place, you can reduce the time it takes to detect and respond to incidents, minimize the impact on your business, and comply with breach notification requirements.

Audit and compliance reporting: Financial firms are subject to audits from regulatory bodies and clients. These audits require you to provide evidence of your compliance with regulations and your internal policies and procedures. GRC solutions can help you prepare for audits by providing compliance reporting, audit trails, and documentation management.

In addition to our core GRC offerings, Vault Security Solutions also provides custom-tailored services for our financial clients to meet specific compliance needs. We understand that different financial institutions may face unique regulatory and compliance requirements based on their business models, size, and geographical locations. That's why we offer custom solutions that address specific GRC needs, including:

Risk assessments: We provide a comprehensive analysis of the risks associated with your organization's operations and provide guidance on how to mitigate them. Our experienced consultants will work with you to identify, assess, and prioritize risks based on their potential impact and likelihood of occurrence.

Third-party risk management: We help you assess the security and compliance posture of your third-party vendors and service providers to ensure that they meet your organization's standards. We can provide guidance on how to select, onboard, and monitor third-party vendors to reduce the risk of data breaches or other security incidents.

Compliance documentation: We help you develop policies and procedures that meet regulatory requirements, such as the Gramm-Leach-Bliley Act (GLBA), the Sarbanes-Oxley Act (SOX), and the Dodd-Frank Wall Street Reform and Consumer Protection Act. Our team will work with you to develop clear and concise documentation that demonstrates compliance with these regulations.

Training and awareness: We offer customized training programs to help your employees understand the importance of GRC and the specific compliance requirements that apply to your organization. We provide training materials and resources that can be tailored to meet the needs of different employee groups and ensure that everyone in your organization is aware of their responsibilities related to GRC.

Vault Security Solutions is committed to helping financial institutions navigate the complex world of GRC. Our experienced consultants have worked with numerous financial firms of all sizes and types, and we understand the unique challenges that they face. We work closely with our clients to develop customized solutions that address their specific GRC needs and ensure that they meet regulatory requirements.

At Vault Security Solutions, we believe that effective GRC is not just about meeting regulatory requirements. It's about creating a culture of security and compliance within your organization. By working with us, you can be confident that your organization is well-positioned to meet current and future regulatory requirements while also mitigating risk and improving overall security posture. Contact us today to learn more about how we can help your financial firm with its GRC needs.

Vault Security Solutions offerings for AEC

As an Architecture, Engineering, and Construction (AEC) firm, you know that managing risk is critical to the success of your projects. Regulatory requirements, contractual obligations, and market pressures demand that you have a robust governance, risk, and compliance (GRC) program in place to ensure that you are meeting all of your obligations while protecting your business from reputational damage, financial loss, and legal liabilities.

Vault Security Solutions offers comprehensive GRC solutions that are tailored to meet the specific needs of AEC firms. We understand the unique challenges that your industry faces, and we work closely with you to develop a customized approach that addresses your specific risk landscape. Our GRC services include:

Risk Management: Our risk management services help you to identify, assess, and mitigate the risks that are inherent in your projects. We work with you to develop risk management frameworks, risk registers, and mitigation plans that are tailored to your specific needs. Our approach is based on international standards such as ISO 31000, and we employ industry best practices to ensure that you are taking a comprehensive approach to risk management.

Regulatory Compliance: Compliance is critical in the AEC industry, and non-compliance can result in significant financial penalties, reputational damage, and legal liabilities. Our regulatory compliance services help you to stay on top of the ever-changing regulatory landscape by providing you with the tools and resources you need to stay compliant. We work closely with you to understand your regulatory obligations, develop compliance programs, and implement controls to ensure that you are meeting your obligations.

Contract Management: Contracts are at the heart of the AEC industry, and managing contracts effectively is critical to the success of your projects. Our contract management services help you to ensure that your contracts are properly managed and that you are meeting all of your contractual obligations. We work with you to develop contract management frameworks, establish contract tracking systems, and provide contract review services to ensure that you are meeting all of your contractual obligations.

Quality Management: Quality is essential in the AEC industry, and poor quality can result in project delays, cost overruns, and reputational damage. Our quality management services help you to ensure that your projects are delivered to the highest quality standards. We work with you to develop quality management frameworks, establish quality assurance processes, and provide quality control services to ensure that your projects meet all of your quality standards.

Cybersecurity: Cybersecurity is a growing concern in the AEC industry, and firms must take steps to protect themselves against cyber threats. Our cybersecurity services help you to identify, assess, and mitigate the cyber risks that are inherent in your projects. We work with you to develop cybersecurity frameworks, establish cybersecurity controls, and provide cybersecurity training to ensure that your organization is prepared to handle cyber threats.

Disaster Recovery and Business Continuity: Disaster recovery and business continuity are critical in the AEC industry, and firms must have plans in place to ensure that you can continue to operate in the event of a disaster. Our disaster recovery and business continuity services help you to develop plans that will enable you to recover from disasters and continue to operate your business. We work with you to develop disaster recovery and business continuity frameworks, establish recovery time objectives, and provide training to ensure that your organization is prepared to handle disasters.

Third-Party Risk Management: AEC firms often work with third-party vendors, and managing third-party risks is critical to the success of your projects. Our third-party risk management services help you to identify, assess, and mitigate the risks associated with your third-party vendors. We work with you to develop third-party risk management frameworks, establish vendor risk assessment processes, and provide training to ensure that your organization is prepared to handle third-party risks.

Incident response planning: In the event of a security breach or other incident, AEC companies need to have a plan in place to respond quickly and effectively. Vault Security Solutions can help develop and implement an incident response plan that includes procedures for identifying and containing the incident, notifying affected parties, and recovering from the incident.

Training and awareness: Employee training and awareness are essential components of any effective GRC program. Vault Security Solutions can provide customized training and awareness programs that educate employees on best practices for cybersecurity and compliance.

Compliance program development: For AEC companies that do not have an existing GRC program in place, Vault Security Solutions can assist with developing a comprehensive program that includes policies, procedures, and controls to ensure compliance with relevant regulations and standards.

Regulatory compliance monitoring: Compliance requirements in the AEC industry can be complex and ever-changing. Vault Security Solutions can help AEC companies stay up-to-date with the latest regulations and standards by monitoring changes and providing guidance on compliance.

Contract management: AEC companies often have numerous contracts with clients, vendors, and subcontractors. Vault Security Solutions can help manage these contracts by reviewing and negotiating terms and ensuring that they comply with relevant regulations and standards.

Overall, GRC services are essential for AEC companies to manage their compliance and risk management needs effectively. Vault Security Solutions offers a wide range of GRC services tailored specifically to the AEC industry, helping companies ensure the security and integrity of their operations while also protecting their reputation and bottom line.

